# **Exam 1 and HW3 Review** CS 4278/5278: Principles of Software Engineering

Skyler Grandel Graduate Teaching Assistant skyler.h.grandel@vanderbilt.edu

# Exam 1

- Thursday Feb 23
- 12:01 am 11:59 pm
- 2 hours
  - Latest start time: 9:59 pm
- TAs available 9 to 9
- Practice Link on Piazza

	CS4278/5278 Principles of Software Engineering Lectures			Assignments +	
l	UZ/1Z/23	(None; this is a Sunaay)	Hwz aue		
	T 02/14/23	<u>Static &amp; Dataflow</u> <u>Analysis (2/2)</u> [qa]			
	TR 02/16/23	Defect Reporting and Triage [bugs]			
	T 02/21/23	Exam 1 (Midterm) Review + HW3 Review.	Exam Example, Key for	<u>Exam Example</u>	
	TR 02/23/23	Exam 1 (Midterm)			
	T 02/28/23	Fault Localization and Profiling [bugs]			

# **Exam Structure**

• The exam will be a webpage on Dr. Huang's website

• 6 multipart questions + 1 bonus

• Short answer, answer bank, fill in the blank

#### **Exam 1 Material**

- Process, Risk, Scheduling
- Measurement and Quality Assurance
- Testing and Code Review
- Dynamic, Static, and Dataflow Analysis
- Defect Reporting and Triage

• Spiral, Agile, Waterfall - advantages and shortcomings



- Zero-risk bias prefer eliminating risk over larger reduction in risk
- Risk management as a project management tool
- Trade-offs and benefits of proper risk management
- Best practices for managing risk
- Balancing risk and innovation

- Strategies to estimate time for a project (cocomo)
- Relationship between scheduling and risk
- Milestones vs. deliverables
  - Endpoint of a task vs Results for the customer
- "Almost done" problem

• Visualization - Gantt Diagram



#### **Measurement** and Quality Assurance

- Measurement how is it applied to SE?
- What decisions can be made based on metrics?
  - Where should funds/effort be allocated?
- McNamara Fallacy
  - Making decisions based solely on quantitative metrics
- Maintainability Index general purpose
  - Halstead Volume, Cyclomatic Complexity, LOC

### **Measurement** and Quality Assurance

- Types of validity (construct, predictive, external)
- Streetlight effect
  - Searching for something and looking only where it is easiest
- Statistics: false positive paradox, correlation != causation, confounding variables
- Metric-based incentives

## Measurement and **Quality Assurance**

- What is Quality Assurance?
- Halting Problem in QA
  - We can never be sure a program is correct
- Testing can give us an estimate
  - Demonstrates the presence of bugs, not their absence

- XUnit & unit testing frameworks
  - Write tests that look like other code
- Another process in test-driven development
- Mocking and its applications (with APIs)
  - Writing code to approximate unavailable objects
  - Dynamic and static mocking

- Types of testing
  - Regression running old tests
  - Unit test individual pieces
  - Integration end-to-end testing
  - Fuzz testing lots of random inputs
  - Penetration testing for security vulnerabilities

- Bias in testing (test what works!)
- Coverage as a metric for test suite comparison
- Coverage instrumentation and relation to observer effect
  - Instrumenting a program could change its behavior
- Branch & line coverage
  - Branch is more difficult but gives more confidence
  - You should be able to calculate both

- Alpha Testing by devs
- Beta Testing by external users
- A/B testing show impact of a difference in one feature
- Sample *common* and *harmful* functionality with tests

- Mutation testing defect seeding to test quality of a test suite
  i.e: intentionally adding bugs
- Mutation operator and mutant orders
- Competent programmer hypothesis and relation to mutation
- Equivalent mutants
- Coupling effect
  - simple faults are coupled with complex ones

- Test case: input data, oracle, comparator
- Test case minimization
- Coverage branch edges vs. paths
- Enumerating paths and loops in a program

- Test generation DART approach
- Invariants and oracle inference
  - predicate over expressions that is true on all executions.
- Test generation tools
  - Pex
  - EvoSuite

- Code review find defects, improve quality
- Formal code inspection
  - More formal and holistic
- Pull request proposed changes to merge into a repository

- Inspection incentive and root cause analysis
  Why inspect? To prevent problems from reoccurring
- Metrics on inspection (efficacy, speed, fatigue, etc.)
- Different types
  - Formal inspection, walkthrough, pair programming, passaround, ad hoc

- Dynamic analysis analyzing a program by running it
- Assists with hard-to-test bugs
- Race condition output depends on sequence of "uncontrollable" events
- Steps
  - Run program systematically (controlled input or environment)
  - Monitor internal state at runtime
  - Analyze results

- Edge and path coverage
- Taint tracking using sources and sinks
- Execution time profiling
- Focus on one property of output information for dynamic analysis
- Input dependent analysis

- Examples of dynamic analysis
  - Eraser
  - Chaos Monkey
  - CHESS
  - Driver Verifier
  - Testing!

- Static analysis analysis of code *not* at runtime
- Dataflow analysis approach to static analysis
- Main ideas
  - Abstraction as hiding unnecessary details to simplify program
  - Programs being simplified down to trees, graphs, or strings

• Abstract Syntax Tree represents syntactic structure of source code



- Dataflow analysis
  - Gather information on the possible set of values at various points
  - Definite null dereference
    on CFG



- Rice's Theorem and Undecidability of Program's Properties
  - All of the interesting properties of a program are undecidable
  - Conservative Program Analyses (imprecision)

- Rules for transfer functions:  $\bot$ , T, a
  - Forward analysis
- Live variables
  - Backward analysis
  - If the current value of a variable is never used, the variable is considered to be dead



# **Defect Reporting and Triage**

- Fault exceptional situation at run time
- Defect characteristic of a product which hinders its usability for its intended purpose
- Bug report Accurately and precisely describe the bug and how to reproduce it
- Triage measure of urgency

# Homework 3 Intro

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# **Starting Point**

- Grading server uses Python 3.5.2
- Read documentation on the **ast** module and the **astor** module.
- You should submit a single file, "mutate.py"
  - The program should generate mutants that are named "0.py", "1.py", ... (up to 100 files)
  - Other outputs are ignored

- Can someone quickly explain what mutation testing is?
  - hint: make sure to review mutation testing for the exam!

#### **Mutation Operators**

- You should implement and support the following three mutation activities:

- 1. Negate any single comparison operators (>= becomes <, = becomes !=)
- 2. Swap binary operators +, and -, as well as \* and //.
- 3. Delete an assignment or function call statement.

### Held-Out Test Suites

- Test Suites A, B, C, D, and E have 92%, 91%, 90%, 88%, and 79% statement coverage of fuzzywuzzy, respectively. These suites have 80, 57, 47, 32, and 9 tests, respectively.
- Swap Binary Operators to distinguish Test Suite A and B from C, D, and E
- Swap Comparison Operators to distinguish between Suite B, C, D, and E
- **Delete Assignments and Function Calls** to distinguish C, D, and E. With care, to distinguish between A and B.
- Higher-Order Mutation may distinguish Test Suites B, C, and D.
- Use Creativity to distinguish between Test Suite A and B
  - hint: try changing assignments!

#### Starter Code

import ast

import astor

```
with open("xxx.py", "r") as src:
```

```
# convert BinOp "+" to "-"
```

```
tree = ast.parse(src.read())
```

new\_tree = AddTransformer().visit(tree0) // how to write a transformer?

```
file = astor.to_source(new_tree).strip()
```

# then write to an output file

#### Starter Code

- How to write a Transformer?
  - Read the ast.NodeVisitor and ast.NodeTransformer sections in ast documentation
    - NodeTransformer is a subclass of NodeVisitor (recall ISD concepts...)
    - Use inheritance to create different transformers:
      - e.x., class AddTransformer(ast.NodeTransformer)
      - Transformer subclasses should have a visitor function (see documentation)
    - https://docs.python.org/3/library/ast.html
  - How do I parse a python file into an AST? How do I turn an AST into a source file?
    - Read documentation on ast.parse, ast.dump, astor.to\_source, etc.
    - https://astor.readthedocs.io/en/latest/
- Is this the only approach?
  - No, previous students have tried several other approaches that worked well!
  - The transformer approach above is one that should be straightforward

### **Common Pitfalls and Advices**

- 1. Don't start with higher order mutants!
  - a. Though carefully designed higher order mutants can be important, most higher order mutants have a high chance of being detected by every test suite.
- 2. Increasing the odds of one mutation operator also effectively reduces the odds of the others (since you can only produce a fixed number of mutants)
- 3. Be careful not to mistakenly share the tree data structure between mutants, as you may end up with more edits than you thought
- 4. Try making a high-quality test suite locally and evaluating against it.
- 5. Make sure you actually have a chance of mutating every relevant node.
- 6. You may want to implement additional mutation operators.
  - a. See https://huang.isis.vanderbilt.edu/cs4278/readings/mutation-testing.pdf
- 7. After creating your mutants, you should run pylint to minimize the number of linting/syntax errors reported

# Interested in AST?

Take Compilers (CS 3276/5276)!